

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John MELLERT *et al.*

Title: METHODS FOR RELIABLE COMMUNICATION OF LINK
MONITORING INFORMATION IN OPTICAL
COMMUNICATIONS NETWORKS

Appl. No.: Not Yet Assigned

Filing Date: August 13, 2001

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

UTILITY PATENT APPLICATION
TRANSMITTAL

Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional
utility patent application of:

John MELLERT
Ronald E. JOHNSON
Jeffrey LYNCH

☒ Applicant claims small entity status under 37 CFR 1.27.

Enclosed are:

- ☒ Specification, Claim(s), and Abstract (20 pages).
- ☒ Informal drawings (7 sheets, Figures 1-7).
- ☒ Unexecuted Declaration and Power of Attorney (4 pages).
- ☐ Assignment of the invention to _____
- ☐ Assignment Recordation Cover Sheet.
- ☐ Small Entity Statement.
- ☐ Request for application not to be published with certification under 35
USC 122(b)(2)(B)(i).

- ☐ Information Disclosure Statement.
- ☐ Form PTO-1449 with copies of ___ listed reference(s).
- ☐ Application Data Sheet (37 CFR 1.76).

The filing fee is calculated below:

| | Claims as Filed | Included in Basic Fee | Extra Claims | Rate | Fee Totals |
|---|--------------------|--------------------------|-----------------|-------------------|---------------|
| Basic Fee | | | | \$710.00 | \$710.00 |
| Total Claims: | 17 | - 20 | = 13 | x \$18.00 | = \$0.00 |
| Independents: | 3 | - 3 | = 0 | x \$80.00 | = \$0.00 |
| If any Multiple Dependent Claim(s) present: | | | | + \$270.00 | = \$0.00 |
| Surcharge under 37 CFR 1.16(e) for late filing of Executed Declaration and late payment of filing fee | | | | + \$130.00 | = \$130.00 |
| | | | | SUBTOTAL: | = \$840.00 |
| <input checked="" type="checkbox"/> Small Entity Fees Apply (subtract 1/2 of above): | | | | | = \$840.00 |
| | | | | TOTAL FILING FEE: | = \$420.00 |

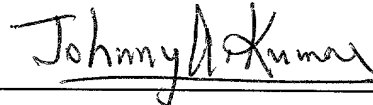
- ☐ A check in the amount of \$420.00 to cover the filing fee is enclosed.
- ☒ The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
- ☐ The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date: August 13, 2001

By



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